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Dated: October 23, 2003

By:

Karyn F. Massie

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****REQUEST FOR FILING APPLICATION****Under Rule 53(a), (b) & (f)**

(No Filing Fee or Oath/Declaration)

(Do **NOT** use for Provisional or PCT Applications)Use for Design or Utility Applications**PATENT APPLICATION****RULE 53(f) NO DECLARATION**

Hon. Commissioner of Patents

Atty. 021286-0306473

PH-1569US-CIP

Dkt.

Washington, DC 20231

M#

Client Ref

Date: October 23, 2003

Sir:

1. This is a Request for filing a new Patent Application(☐ Design ☒ Utility) entitled:

2. (Complete) Title:

ANTI-CD40 MONOCLONAL ANTIBODYwithout a filing fee or Oath/Declaration but for which is enclosed the following:3. ☒ Abstract 1 page(s).4. 86 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 30 Numbered claim(s); and

7. ☒ Drawings: 21 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☒ A4 ☐ 11"9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

Application No.	Filing Date	Application No.	Filing Date
(1) 2001-142482	May 11, 2001	(2)	
(3) 2001-310535	October 5, 2001	(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) filed on in U.S. Application No. /12. ☐ This is a reissue of Patent No. _____13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)14. ☒ **Amend the specification** by inserting before the first line -- This is a ☒ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of: see 14a, 1514(a) ☒ National Appln. No. 09/844,684 filed 04/27/2001

10/040,244 filed 10/26/2001

14(b) ☒ International Appln. No. PCT/ JP02/04292 filed 04/26/2002 which

designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--

15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. , filed .--
16. Extension to date: ☐ concurrently filed ☒ not needed ☐ previously filed
17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)
- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)
18. ☐ Prior application is assigned to

by Assignment recorded _____ Reel _____ Frame _____

19. ☒ **Attached: Specification Coversheet; Sequence Listing in CRF and Paper copy; Statement under 37 CFR 1.821; Express Mailing Env. #EV 304 112 297; and Return postcard**

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Toshifumi		MIKAYAMA	
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21. NOTE: FOR ADDITIONAL INVENTORS, check box ☒
and attach sheet with same information regarding additional inventors.

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

REQUEST FOR FILING APPLICATION
Under Rule 53(a), (b)(I) & (d)(I)
(Continued : Additional Inventors)

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